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10/511,610	04/18/2005	Renato Cantini	261204US2XPCT	7462
22850	7590	07/20/2007		
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER SAFAIPOUR, BOBBAK	
			ART UNIT 2618	PAPER NUMBER
			NOTIFICATION DATE 07/20/2007	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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## Office Action Summary

Application No.

10/511,610

Applicant(s)

CANTINI ET AL.

Examiner

Bobbak Safaipour

Art Unit

2618

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 13 April 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

This Action is in response to Applicant's response filed on 4/13/2007. Claims 1-15 are still pending in the present application. **This action is made FINAL.**

#### ***Response to Arguments***

Applicant's arguments with respect to claims 1-15 have been considered but are moot in view of the new ground(s) of rejection.

#### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any

evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

**Claims 1-15** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Hoshino (US 6,003,113)** in view of **Wichmann (US 6,415,160)**.

Consider **claim 1**, Hoshino discloses a method for management of resources of a portable resource module, the resource module connected to a communication terminal and designed in particular as a chipcard, and the resources comprising electronic memory units, the method comprising:

transmitting a first resource management instruction comprising a module identification identifying the resource module, to a resource management centre; (figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37)

transmitting a second resource management instruction from the resource management centre via a communication network to the resource module identified through the module identification; (figure 1; col. 6, line 20 to col. 7, line 37)

making ready or releasing resources, in accordance with the received second resource management instruction, through a resource control mechanism in the identified resource module; and (figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

transmitting a resource management confirmation from the identified resource module via the communication network to the resource management centre; and (figure 2; col. 13, lines 8-38)

storing information in the resource management centre about the resources made ready or released, the information being stored assigned to the module identification. (figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Hoshino fails to disclose an external communication network.

In related art, Wichmann discloses an external communication network. (abstract; figure 1; col. 2 line 51 to col. 3, line 35)

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the teachings of Hoshino into the teachings of Wichmann to control the management of data for the operation of a communications network for transmitting and receiving short messages to and from a smart card.

Consider **claim 7**, Fairman et Hoshino discloses a system comprising:

a plurality of portable resource modules, each connected to a communication terminal and each comprising a resource control mechanism for making ready and releasing resources in the respective resource module, the resources comprising electronic memory units, and the portable resource modules are designed as chipcards, and (figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

a resource management centre including a receiving module for receiving a first resource management instruction comprising a module identification, transmitted to the resource management centre, the resource management centre also including a management instruction module for transmitting, to the resource module identified by the module identification, a second resource management instruction via a communication network connected to the resource management centre, and (figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37)

wherein the resource modules each include a confirmation module for transmission of a resource management confirmation via the communication network to the resource management centre concerning resources which have been made ready or released through the resource control mechanism in accordance with a received second resource management instruction; (figures 1 and 2; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60; col. 13, lines 8-38)

the resource management centre includes a management module and a data store for storing information about the resources made ready or released, the information being stored assigned to the module identification. (figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Hoshino fails to disclose an external communication network.

In related art, Wichmann discloses an external communication network. (abstract; figure 1; col. 2 line 51 to col. 3, line 35)

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the teachings of Hoshino into the teachings of Wichmann to control the

management of data for the operation of a communications network for transmitting and receiving short messages to and from a smart card.

Consider **claim 13**, Hoshino discloses a resource management centre for management of resources of portable resource modules, each portable resource module being connected to a communication terminal, and each portable resource comprising a resource control mechanism for making ready or releasing resources in the respective resource module, the resources comprising electronic memory units, and which portable resource modules are designed in particular as chipcards, comprising (figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60):

a receiving module for receiving a first resource management instruction, comprising a module identification, transmitted to the resource management centre (figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37);

a management instruction module for transmitting, to the resource module identified through the module identification, a second resource management instruction via a communication network connectible to the resource management centre (figure 1; col. 6, line 20 to col. 7, line 37);

means for receiving a resource management confirmation via the communication network from the identified resource module concerning resources which have been made ready or released through the resource control mechanism in accordance with the received second resource management instruction; (figure 2; col. 13, lines 8-38)

a management module and a data store for storing information about the resources made ready or released, the information being stored in a way assigned to the module identification. (figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Hoshino fails to disclose an external communication network.

In related art, Wichmann discloses an external communication network. (abstract; figure 1; col. 2 line 51 to col. 3, line 35)

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the teachings of Hoshino into the teachings of Wichmann to control the management of data for the operation of a communications network for transmitting and receiving short messages to and from a smart card.

Consider **claim 2**, and **as applied to claim 1 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the module identification and an application request are transmitted by the user of the communication terminal to an application management unit (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37), and

wherein the first resource management instruction is transmitted by the application management unit to the resource management centre on the basis of the received application request, the first resource management instruction comprising a resource user identification (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37);

wherein the resource user identification is stored, assigned to the module identification, in the resource management centre. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)



Art Unit: 2618

wherein the resource user identification is stored, assigned to the module identification, in the resource management centre. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 3**, and as applied to **claim 2 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein a resource preparation confirmation is transmitted from the resource management centre to the application management unit (Hoshino: figure 2; col. 13, lines 8-38),

wherein an application installation request is transmitted from the application management unit via the communication network to the particular resource module (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37),

wherein an application is installed in the particular resource module through the resource control mechanism in accordance with the application installation request using the prepared resources (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60);

wherein information about the installed application is stored in the application management unit, the information being stored assigned to the module identification. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 4**, and as applied to **claim 1 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein in the resource management centre an application

installation request is inserted into the second resource management instruction (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37), and

wherein an application is installed in the particular resource module through the resource control mechanism in accordance with the application installation request (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37);

wherein information about the installed application is stored in the resource management centre, the information being stored assigned to the module identification. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60).

Consider **claim 5**, and **as applied to claim 1 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the communication address of the communication terminal is determined from a data store in which module identifications and communication addresses assigned to these module identifications are stored. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 6**, and **as applied to claim 1 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein managed in addition are software resources of the resource modules (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60).

Consider **claim 8**, and **as applied to claim 7 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the system includes an application management unit for

Art Unit: 2618

receiving the module identification and an application request from the user of the communication terminal and for transmitting the first resource management instruction to the resource management centre on the basis of the received application request, (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37)

the first resource management instruction includes a resource user identification, (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37) and wherein the management module includes means for storing in the data store the resource user identification in a way assigned to the module identification. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 9**, and **as applied to claim 8 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the resource management module comprises a confirmation module for transmission of a resource preparation confirmation to the application management unit, (Hoshino: figure 2; col. 13, lines 8-38)

wherein the application management unit includes an application instructions module for transmitting an application installation request via the communication network to the particular resource module, (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37)

wherein the resource control mechanism includes means for installing an application in the respective resource module in accordance with the application installation request and using the prepared resources. (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37);

wherein the application management unit includes an application management module for storing information about the installed application, the information being stored assigned to the module identification. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 10**, and **as applied to claim 7 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the management instruction module includes means for inserting an application installation request into the second resource management instruction, (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37)

wherein the resource control mechanism includes means of installing an application in the respective resource module in accordance with the application installation request (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37) and

wherein the management module includes means for storing information about the installed application, the information being stored, assigned to the module identification, in the data store. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 11**, and **as applied to claim 7 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the system comprises an address mapping unit and a data store for determining the communication address of the communication terminal in which data store module identification and communication addresses are assigned to these

Art Unit: 2618

module identification are stored. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 12**, and **as applied to claim 7 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the resources which are made ready and released through the resource control mechanism further comprise, in addition, software resources. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 14**, and **as applied to claim 13 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein the management instruction module further comprises means for inserting an application installation request into the second resource management instruction (Hoshino: figures 1 and 2; col. 2, lines 35 to col. 3, line 30; col. 6, line 20 to col. 7, line 37)

wherein the management module further comprises means for storing information about an application installed in the particular resource module in accordance with the application installation request, the information being stored, assigned to the module identification, in the data store. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

Consider **claim 15**, and **as applied to claim 13 above**, Hoshino, as modified by Wichmann, discloses the claimed invention wherein a confirmation module for transmitting a

Art Unit: 2618

resource preparation confirmation to an application management unit from which the first resource management instruction was received by the receiving module (Hoshino: figure 2; col. 13, lines 8-38),

wherein the management module further comprises means for storing a resource user identification contained in the first resource management instruction, the resource user identification being stored, assigned to the module identification, in the data store. (Hoshino: figure 1; abstract; col. 2, line 24 to col. 5, line 32; col. 6, line 20 to col. 7, line 60)

### *Conclusion*

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any response to this Office Action should be **faxed to (571) 273-8300 or mailed to:**

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Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Bobbak Safaipour whose telephone number is (571) 270-1092. The Examiner can normally be reached on Monday-Friday from 9:00am to 5:00pm.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Lana Le can be reached on (571) 272-7891. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.


Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free) or 703-305-3028.

Art Unit: 2618

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist/customer service whose telephone number is (571) 272-2600.

  
Bobbak Safaipoor  
B.S./bs

July 6, 2007

  
7-09-07  
LANA LE  
PRIMARY EXAMINER